

City of Madison FY19 Annual Action Plan: Substantial Amendment to Accept 2020 CARES Act Funding

In March 2020, Congress passed and the President signed the *Coronavirus Aid, Relief and Economic Security Act* ("CARES Act"), which provides critical supplemental funding to communities in their local response efforts to the COVID-19 pandemic.

Acceptance of the CARES Act funds that are allocated via HUD's Office of Community Planning and Development (CPD) requires a HUD Grantee to submit a Substantial Amendment to its FY19 Annual Action Plan. Changes from the City of Madison's originally submitted FY19 Annual Action Plan are **highlighted** below.

The City's originally submitted FY19 AAP is available at:
https://www.cityofmadison.com/cdbg/documents/2019_Action_Plan.pdf.

AP-15 Expected Resources

24 CFR 91.220(c)(1,2)

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Narrative Description
			Annual Allocation	Program Income	Prior Year Resources	Total	
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,889,625	1,206,425	1,952,559	5,048,609	Estimated five-year average annual CDBG Entitlement allocation: \$1,503,068
CDBG-CV	public - federal	Prevent, prepare for and respond to coronavirus	1,160,897	0	0	1,160,897	CDBG-CV funds to be used to prevent, prepare for and respond to coronavirus
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,378,974	984,553	4,116,468	6,479,995	Estimated five-year average annual HOME PJ allocation: \$679,530
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	159,201	0	1,272	160,473	Estimated five-year average annual HESG Entitlement allocation: \$145,995
ESG-CV	public - federal	Prevent, prepare for and respond to coronavirus	2,890,929	0	0	2,890,929	ESG-CV funds to be used to prevent, prepare for and respond to coronavirus
HCRI	public - state	Homebuyer assistance	253,200	196,409	95,000	544,609	Estimated \$300,000 awarded per 2-year HCRI grant period

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 5				Narrative Description
			Annual Allocation	Program Income	Prior Year Resources	Total	
EHH (ESG, HPP, HAP)	public - state	Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Services Transitional housing Other	528,379	0	0	528,379	Estimated five-year average annual state EHH allocation: \$363,053
City of Madison	public - local	Housing Services	4,169,130	0	10,830,000	14,999,130	Estimated five-year average annual City allocation: \$2,168,366
Future Madison	private	Services	19,761	0	0	19,761	Estimated five-year average annual Future Madison allocation: \$18,716

Table 5 - Expected Resources – Priority Table

AP-35 Projects

24 CFR 91.220(d)

The City of Madison's Community Development Division, through CDD-staffed citizen committees, makes its funding allocation decisions based on a Request for Proposals (RFP) process. Through this process, funds are awarded to eligible activities that support the goals (and address the priority needs) articulated as part of the Strategic Plan. Expected resources cited in RFPs are based on assumptions about future funding levels, and the allocations awarded to activities are contingent upon the City's receipt of sufficient funds for the period covered by the RFP.

Projects

#	Project Name
1	Owner-Occupied Housing Rehab
2	Rental Housing Development
3	Owner-Occupied Housing Development
4	Homebuyer Assistance
5	Homeless and Special Needs Populations
6	Housing Resources
7	Job Creation & Community Business Development
8	Small Business (Micro-Enterprise) Development
9	Adult Workforce Preparedness
10	Neighborhood Centers & Community Gardens
11	Capital Improvements for Community Organizations
12	Neighborhood Revitalization Plans & Projects
13	Overall Program Administration
14	ESG19 Madison
15	CV-Madison COVID-19 Response (CDBG-CV)
16	CV-Madison COVID-19 Response (ESG-CV)

Table 8 – Project Information

AP-38 Project Summary

Project Summary Information

1	Project Name	Owner-Occupied Housing Rehab	
	Objectives Supported	Housing Supply	
	Goals/Needs Addressed	Affordable Housing	
	Funding	CDBG: \$333,216 City of Madison: \$15,000	
	Description	Preserve and Improve the supply of affordable housing for homeowners	
	Planned Activities	Deferred Payment Loan Program PH Home Repair Program	
2	Project Name	Rental Housing Development	
	Objectives Supported	Housing Supply	
	Goals/Needs Addressed	Affordable Housing	
	Funding	CDBG: \$536,306 HOME: \$2,765,851 City of Madison: \$10,430,000	
	Description	Preserve, improve and expand the supply of affordable housing for renters	
	Planned Activities	CommonBond Point Place (<i>formerly Tree Lane</i>) Senior Housing GC Valor on Washington Rental Housing GC/UCA Generations (<i>formerly GrandFamily</i>) Rental Housing @ Union Corners MDC The Avenue Rental Housing Expansion MO The Royal Apts (<i>formerly Madison on Broadway</i>) Rental Housing MSP Normandy Square Rental Housing	MSP The Grove Apts Rental Housing PH Prairie Crossing Rental Housing Rehab SHD Fair Oaks Apts Rental Housing SHD Schroeder Rd Apts Rental Housing Housing Development Reserve Fund activities TBD
3	Project Name	Owner-Occupied Housing Development	
	Objectives Supported	Housing Supply	
	Goals/Needs Addressed	Affordable Housing	
	Funding	CDBG: \$251,582 HOME: \$2,889,545 City of Madison: \$400,000	
	Description	Expand the supply of affordable housing for homeowners	
	Planned Activities	Linden CohoMadison Cohousing Community WPHD Lease-To-Purchase Program WPHD Single Family Housing Development Housing Development Reserve Fund activities TBD	
4	Project Name	Homebuyer Assistance	
	Objectives Supported	Housing Assistance	
	Goals/Needs Addressed	Affordable Housing	
	Funding	CDBG: \$305,109 HOME: \$530,892 HCRI: \$506,629	
	Description	Improve housing stability for homebuyers	
	Planned Activities	Home-Buy The American Dream (HBAD) Program Habitat Affordable Homeownership for Families MO Homeownership Program	

5	Project Name	Homeless and Special Needs Populations <i>[also see Project #14]</i>	
	Objectives Supported	Housing Assistance	
	Goals/Needs Addressed	Affordable Housing	
	Funding	City of Madison: \$1,365,943 EHH (ESG / HPP / HAP): \$520,318	
	Description	Improve housing stability for renters, homeless and special needs populations	
	Planned Activities	DCHS The Beacon Support HAH Rethke Terrace Supportive Services HI Permanent Housing Supportive Services ICA Dane CoC Coordinated Entry Porchlight Dwelling Intervention Grants & Sustenance (DIGS) Porchlight Permanent Housing Case Management Porchlight Shelter Case Management Porchlight Street Outreach Porchlight Transit for Economic Self-Sufficiency (TESS) / Transit for Jobs Sankofa/OWH Housing-Focused Street Outreach & Restorative Justice	Tellurian ReachOut PATH Match TRC Quick Move-In TRC Rapid Rehousing TRH Moving Up TRH Reducing Barriers Fund TSA Diversion Case Manager TSA Single Women/Warming House Case Management YWCA Family Shelter YWCA Tree Lane Family Supportive Housing Project Additional supportive services TBD @ Tree Lane PSH Rent subsidy, shelter, and homelessness prevention activities TBD through 2019-20 state RFP process
6	Project Name	Housing Resources	
	Objectives Supported	Housing Assistance	
	Goals/Needs Addressed	Affordable Housing	
	Funding	CDBG: \$92,842 City of Madison: \$352,663	
	Description	Provide information or other non-monetary resources to LMI persons, and support access to affordable housing opportunities	
	Planned Activities	FHC Fair Housing Services IL Home Modification LAW Eviction Defense Project (General) TRC Bilingual Housing Counseling	TRC Housing Counseling, Outreach and Education TRC Housing Mediation Services Financial Literacy / Homebuyer Readiness Education TBD
7	Project Name	Job Creation & Community Business Development	
	Objectives Supported	Job Creation and Community Business Development	
	Goals/Needs Addressed	Economic Development and Employment Opportunities	
	Funding	CDBG: \$1,300,000	
	Description	Create jobs, especially for under-represented individuals, by supporting new or expanding businesses	
	Planned Activities	CWD MSI Roof Replacement MDC Business Loan Program Economic Development Reserve Fund activities TBD	
8	Project Name	Small Business (Micro-Enterprise) Development	
	Objectives Supported	Small Business (Micro-Enterprise) Development	
	Goals/Needs Addressed	Economic Development and Employment Opportunities	
	Funding	CDBG: \$460,177	
	Description	Assist entrepreneurs, particularly those from populations that are under-represented, seeking to start or grow small businesses and micro-enterprises (as defined by HUD) that create jobs	
	Planned Activities	LCC Small Business Technical Assistance MBCC Smarter Black Businesses WWBIC Business Development Loans Economic Development Reserve Fund activities TBD	

9	Project Name	Adult Workforce Preparedness	
	Objectives Supported	Adult Workforce Development	
	Goals/Needs Addressed	Economic Development and Employment Opportunities	
	Funding	City of Madison: \$779,080	
	Description	Provide needed support and opportunities to help individuals overcome barriers to gainful employment and achieve economic stability	
	Planned Activities	CWD Southwest Transitional Employment Program (STEP) GCC Supporting Successful Employment KH Adult Resource Development LCEC Building Employment and Technology Skills (BEATS) LN College Success Employment Training LN Skills in Computers and Literacy for Employment MUM Just Bakery	Omega GED Preparation and Basic Skills Instruction ULGM ADVANCE Employment Services ULGM Construction Employment Initiative Vera Industry-Specific Training Vera LAWD Education Advancement Vera Workforce Essentials WRTP Construction Liaison Project (CEI / Big Step) YWCA Yweb Career Academy
10	Project Name	Neighborhood Centers & Community Gardens	
	Objectives Supported	Neighborhood Centers and Community Gardens	
	Goals/Needs Addressed	Strong and Healthy Neighborhoods	
	Funding	CDBG: \$365,130 City of Madison: \$1,256,444 Future Madison: \$19,761	
	Description	Create, enhance or sustain the development and operation of physical assets, such as neighborhood centers, community gardens or other physical amenities that help bring people of diverse backgrounds together, serve as neighborhood focal points, or help residents develop skills or take advantage of opportunities that will strengthen neighborhoods	
	Planned Activities	Bayview Center Support BGC Allied Neighborhood Center Support BGC Taft Neighborhood Center Support BLPW Neighborhood Center Support CGW Community Garden Leadership Development & Self-Management CRC Resilience Neighborhood Center Support EMCC Neighborhood Center Support GCC Neighborhood Center Support KH Neighborhood Center Support	LCEC Neighborhood Center Support MSCR Meadowridge Neighborhood Center Support NH Neighborhood Center Support ULGM PE/PR Neighborhood Employment Center Operations/Support VCNC Neighborhood Center Support Wil-Mar Neighborhood Center Support WYC EPNC Neighborhood Center Support WYC TT Neighborhood Center Support
11	Project Name	Capital Improvements for Community Organizations	
	Objectives Supported	Capital Improvements for Community Organizations	
	Goals/Needs Addressed	Strong and Healthy Neighborhoods	
	Funding	CDBG: \$660,167	
	Description	Create or improve safe, accessible, energy-efficient and well-maintained community and neighborhood facilities	
	Planned Activities	GCC IronWorks Renovation Acquisition/Rehab Reserve Fund activities TBD	
12	Project Name	Neighborhood Revitalization Plans & Projects	
	Objectives Supported	Neighborhood Revitalization Plans and Projects	
	Goals/Needs Addressed	Strong and Healthy Neighborhoods Effective Planning and Program Administration	
	Funding	CDBG: \$267,942	
	Description	Help residents within designated neighborhoods identify, plan for, and implement projects and activities that promise to enhance the quality of life for neighborhood residents	
	Planned Activities	Concentration Neighborhood Planning Neighborhood Revitalization Projects (EEEPY / DWSC / South Madison)	

13	Project Name	Overall Program Administration		
	Objectives Supported	Planning and Administration		
	Goals/Needs Addressed	Effective Planning and Program Administration		
	Funding	CDBG: \$476,138 HOME: \$293,707 City of Madison: \$400,000 EHH (ESG / HPP / HAP): \$8,061 HCRI: \$37,980		
	Description	Provides staffing for City Community Development Program development, staffing of CDD Committees, contract development and monitoring, and general program management; also provides support services including affirmative action, public information, historic preservation, administrative, and bid services		
Planned Activities	Bayview Renovation Resident Engagement Process Direct Administration and Support Services Futures Fund Reserve activities TBD			
14	Project Name	ESG19 Madison <i>[also see Project #5]</i>		
	Objectives Supported	Housing Assistance		
	Goals/Needs Addressed	Affordable Housing		
	Funding	ESG: \$160,473 <i>(includes \$1,272 from ESG18)</i>		
	Description	Improve housing stability for homeless		
Planned Activities	<table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> ESG19 Homelessness Prevention, including: - LAW Eviction Defense Project (Homeless) - TSA Diversion Case Manager ESG19 Shelter, including: - TSA Emergency Family Shelter (Warming House) - TSA Single Women/Warming House Case Management </td> <td style="width: 50%; vertical-align: top;"> ESG19 Rapid Re-Housing, including: - TRC Rapid Rehousing ESG19 HMIS Services ESG19 Administration </td> </tr> </table>		ESG19 Homelessness Prevention, including: - LAW Eviction Defense Project (Homeless) - TSA Diversion Case Manager ESG19 Shelter, including: - TSA Emergency Family Shelter (Warming House) - TSA Single Women/Warming House Case Management	ESG19 Rapid Re-Housing, including: - TRC Rapid Rehousing ESG19 HMIS Services ESG19 Administration
ESG19 Homelessness Prevention, including: - LAW Eviction Defense Project (Homeless) - TSA Diversion Case Manager ESG19 Shelter, including: - TSA Emergency Family Shelter (Warming House) - TSA Single Women/Warming House Case Management	ESG19 Rapid Re-Housing, including: - TRC Rapid Rehousing ESG19 HMIS Services ESG19 Administration			
15	Project Name	<i>CV-Madison COVID-19 Response (CDBG-CV)</i> Public Service Activities Benefiting Low- to Moderate-Income Households		
	Objectives Supported	Child Care Emergency Income Payments / Housing Assistance Planning and Administration		
	Goals/Needs Addressed	Affordable Housing Effective Planning and Program Administration		
	Funding	CDBG-CV: \$1,160,897		
	Description	Prevent, prepare for and respond to the COVID-19 pandemic		
	Planned Activities	CARES Act funds within this Project will support Public Service activities benefiting low- to moderate-income households; and will include activities which address one or more of the following objectives: Child Care, Emergency Income Payments (Housing Assistance) and Administration.		
16	Project Name	<i>CV-Madison COVID-19 Response (ESG-CV)</i> Activities Supporting Homeless Persons or Persons At-Risk of Homelessness		
	Objectives Supported	Street Outreach Emergency Shelter Homelessness Prevention Rapid Re-Housing Planning and Administration		
	Goals/Needs Addressed	Affordable Housing Effective Planning and Program Administration		
	Funding	ESG-CV: \$2,890,929		
	Description	Prevent, prepare for and respond to the COVID-19 pandemic		
	Planned Activities	CARES Act funds within this Project will support activities directed at homeless persons or persons at-risk of homelessness; and will include activities which address one or more of the following objectives: Street Outreach, Emergency Shelter, Homelessness Prevention, Rapid Re-Housing and Administration.		

Table 9 – Project Summary



City of Madison

City of Madison
Madison, WI 53703
www.cityofmadison.com

Master

File Number: 61295

File ID: 61295

File Type: Resolution

Status: Passed

Version: 1

Reference:

Controlling Body: Community
Development
Division

File Created Date : 07/08/2020

File Name: Approving a Substantial Amendment to the City's FY19 Annual Action Plan to include supplemental CDBG-CV and ESG-CV grant funds awarded to the City of Madison by HUD as part of the 2020 CARES Act; amending the adopted 2020 Operating Budget of the Community

Final Action: 08/04/2020

Title: Approving a Substantial Amendment to the City's FY19 Annual Action Plan to include supplemental CDBG-CV and ESG-CV grant funds awarded to the City of Madison by HUD as part of the 2020 CARES Act; amending the adopted 2020 Operating Budget of the Community Development Division to recognize the additional grant revenue and commensurate expenditures; and authorizing the CD Division to issue one or more RFPs to allocate the funds.

Notes:

Sponsors: Christian A. Albouras, Samba Baldeh, Zachary Henak and Syed Abbas

Effective Date: 08/07/2020

Attachments: Memo for Action Plan Amendment.pdf, Madison FY19 AAP Substantial Amendment.pdf

Enactment Number: RES-20-00550

Author: Linette Rhodes

Hearing Date:

Entered by: jstoiber@cityofmadison.com

Published Date:

History of Legislative File

Ver- sion:	Acting Body:	Date:	Action:	Sent To:	Due Date:	Return Date:	Result:
1	Community Development Division	07/08/2020	Referred for Introduction				
1	COMMON COUNCIL	07/14/2020	Refer	FINANCE COMMITTEE		07/27/2020	Pass
1	FINANCE COMMITTEE	07/14/2020	Referred	COMMUNITY DEVELOPMENT BLOCK GRANT COMMITTEE		07/16/2020	

1	COMMUNITY DEVELOPMENT BLOCK GRANT COMMITTEE	07/16/2020	Return to Lead with the Recommendation for Approval	FINANCE COMMITTEE	07/27/2020	Pass
1	FINANCE COMMITTEE	07/27/2020	RECOMMEND TO COUNCIL TO ADOPT (15 VOTES REQUIRED) - REPORT OF OFFICER			Pass
1	COMMON COUNCIL	08/04/2020	Adopt - 15 Votes Required			Pass

Text of Legislative File 61295

Fiscal Note

The proposed Substantial Amendment to the City of Madison's FY19 Annual Action Plan is the result of HUD awarding the City an additional \$1,160,897 in supplemental Community Development Block Grant (CDBG-CV) funds and an additional \$2,890,929 in supplemental Emergency Solutions Grant (ESG-CV) funds through the 2020 CARES Act.

This resolution proposes to amend CDD's adopted 2020 Operating Budget, as follows, to recognize receipt of these additional grant revenues and their commensurate expenditures:

- CDBG Revenue: (\$1,160,897)
- CDBG Salaries/Benefits: \$232,100
- CDBG Purchased Services: \$928,797

- HESG Revenue: (\$2,890,929)
- HESG Salaries/Benefits: \$289,000
- HESG Purchased Services: \$2,601,929

Title

Approving a Substantial Amendment to the City's FY19 Annual Action Plan to include supplemental CDBG-CV and ESG-CV grant funds awarded to the City of Madison by HUD as part of the 2020 CARES Act; amending the adopted 2020 Operating Budget of the Community Development Division to recognize the additional grant revenue and commensurate expenditures; and authorizing the CD Division to issue one or more RFPs to allocate the funds.

Body

Background:

In March 2020, Congress passed and the President signed the Coronavirus Aid, Relief and Economic Security Act ("CARES Act"), which provides critical supplemental funding to communities in their local response efforts to the COVID-19 pandemic. Acceptance of the CARES Act funds that are allocated via HUD's Office of Community Planning and Development (CPD) requires a HUD Grantee to submit a Substantial Amendment to its FY19 Annual Action Plan.

The City of Madison has been awarded \$1,160,897 in supplemental Community Development Block Grant (CDBG-CV) funds and \$2,890,929 in supplemental Emergency Solutions Grant (ESG-CV) funds through the CARES Act, to help prevent, prepare for and respond to coronavirus. These funds can be used to support local Action Plan objectives that include Housing Assistance, Small Business (Micro-Enterprise) Development, and public services at

Neighborhood Centers, as well as administration costs associated with the management of funded contracts. Specific allocation decisions will be determined by CDD via one or more Request for Proposal (RFP) processes, to be conducted over the next few months.

Action:

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) requires that each Entitlement community Grantee submit an Action Plan annually to receive federal funds; and,

WHEREAS, the Community Development Division developed the City's 2019 Action Plan consistent with the City's adopted 2015-2019 Consolidated Plan which was approved by HUD on July 31, 2019; and,

WHEREAS, the City of Madison will receive \$1,160,897 in supplemental Community Development Block Grant (CDBG-CV) funds and \$2,890,929 in supplemental Emergency Solutions Grant (ESG-CV) funds through the CARES Act and must amend the HUD approved 2019 Action Plan to secure funds; and,

NOW, THEREFORE, BE IT RESOLVED, that the Common Council hereby approves the Substantial Amendment to the City's FY19 Annual Action Plan to recognize the addition of HUD-administered 2020 CARES Act funds, and authorizes the Mayor and City Clerk to execute appropriate grant agreements with HUD to accept these additional funds; and,

BE IT FURTHER RESOLVED, that the adopted 2020 Operating Budget of the Community Development Division is hereby amended to recognize the additional CARES Act grant revenue and commensurate expenditures as described herein; and,

BE IT STILL FURTHER RESOLVED, that the Community Development Division is authorized to conduct one or more Request for Proposal (RFP) processes to allocate the CARES Act funds; and,

BE IT FINALLY RESOLVED, that the Mayor and City Clerk are hereby authorized to sign agreements with the final slate of CARES Act subrecipients for the activities and in the allocation amounts that will be determined via CDD's aforementioned RFP process(es).

City of Madison

Citizen Participation Plan

A. PURPOSE

The City of Madison Community Development Division welcomes the participation of Madison citizens in the development, implementation, and evaluation of its HUD-funded Community Development Program. The major intent of this plan is to outline the opportunities for Madison residents, especially low- and moderate- income residents, individuals living in low- and moderate- income neighborhoods or participating institutions, businesses and community organizations, to help shape the activities of the Community Development Program. This plan is in conformance with Section 103(a)(3) of the Housing and Community Development Act of 1974, as well as, 24 CFR 91.105, the federal regulations governing public participation in the Consolidated Planning process. The Plan provides for and encourages public participation in the development of the Consolidated Plan, any substantial amendments to the Consolidated Plan, and the performance report.

The City of Madison Community Development Division will have lead responsibility for developing and implementing the Consolidated Plan. Policy oversight will be the responsibility of the Madison Community Development Block Grant (CDBG) Committee, which is composed on 3 alderpersons and 6 citizen appointments.

Since 1986, City of Madison CDBG unit has actively sought the involvement of Madison citizens in its community needs assessment and planning. Community Development staff analyzed information, trends, citizen survey and focus group responses, and public hearings to develop recommendations for each area. As the program progressed, the City included more public funding bodies in the process to develop a more comprehensive look at community needs. While still honoring this comprehensive approach, the CD Division also uses information gathered on a day to day basis through its operation of funded projects.

While the structure of the CD Division allows for ongoing participation of these individuals and groups, the Citizen Participation Plan applies to seven areas that the CDBG office oversees; (1) CDBG Committee Meetings and Regular Public Hearings; (2) Two-Year Funding Framework; (3) Development of the Five-Year Consolidated Plan; (4) One-Year Action Plan; (5) substantial amendments to the Consolidated or Action Plans; (6) Consolidated Annual Performance and Evaluation Report (CAPER); (7) amendments to the Citizen Participation Plan. HUD requires citizens have an opportunity to review on comment on the development and drafts of these documents to allocate funding to the jurisdiction. The document outlines how Madison residents may participate in these seven key areas.

B. CITIZEN PARTICIPATION PLAN

CDBG Commission Meetings and Public Hearings

The City has established a nine-member CDBG Committee formed by citizens and elected officials. The Committee discusses on-going issues of the program's operations, recommends funding for particular projects and oversees the development of all HUD projects. Additionally, the Committee provides an opportunity for continuous citizen and organization participation through regular meetings and public hearings.

The Committee has at least one meeting each month. All Committee meetings are publically noticed and open meetings, operated in a manner that permits citizen comments. These meetings follow the public meeting notice requirements outlined under the "Notifications" section of the Citizen Participation Plan. The Committee keeps written and public minutes of its meetings, and has organized certain key public documents, such as a publicity brochure, its annual objectives, and its Grantee Performance report, so that the information is available through staff in the Mayor's office, and in the Department of Planning and Development.

In addition to its monthly meetings, the Committee holds a minimum of two public hearings each year. Generally, the hearings are held to obtain citizens' views on housing and community development needs, development of proposed activities, and review of program performance. However, the agenda for the hearings may be specifically targeted if it is a funding or consolidated planning year. The first hearing is usually held in late spring and the second hearing in late summer. The hearings follow the requirements outlined in the "Notifications" section of this document.

CDBG staff themselves participate in community-based meetings and task forces to continuously explore and obtain feedback on issues involved in implementing a sound community development program. Staff (and some Committee members) are involved in such meetings with the Homeless Services Group (the Dane County Consortium), the Third Sector Group, Neighborhood Resource Teams, and such ad hoc groups as, the Housing Strategy Committee and Community Gardens Committee. These meetings serve as important sources of information about working with members of the target population.

Two-Year Funding Framework

The CDBG Office sets its Program Funding Framework to cover each two year period. The goals and objectives of the two year period are derived from the CDBG Office's Five-Year Plan and support other Department and Citywide strategic goals, objectives and allocation processes. Setting the Funding Framework involves gathering input from Madison residents as well as the community agencies the CDBG Office regularly works with.

The CDBG Office develops a separate calendar and detailed explanation of the Summer process. To encourage active participation and understanding in the Funding process, the City and other funding bodies hold one to two workshop

sessions on how to apply for funding in May. The CDBG Office also outlines and distributes the opportunities for participation by citizens and applicant agencies through mailings, public meetings, CDBG Office web site, and alderpersons. Workshops and other meetings are held in accessible buildings located in neighborhoods with high concentrations of low and moderate income persons, and are advertised in the City's two major newspapers and many community organizations' newsletters. All meetings and hearings follow the "Notifications" section of this document.

Development of Five-Year Consolidated Plan

The Consolidated Plan is developed through a collaborative process to establish a longer term vision for Madison's community development goals and objectives. Participation from citizens, community agencies and other interested stakeholders is an important part of the process. Individual consultations, public meetings and hearings, occasional public surveys and written comments are incorporated into the participation strategy. The CDBG Office makes a special effort to reach out to the citizens residing in CDBG-funded or targeted neighborhoods for their ongoing input into the consolidated plan. Additionally, the office encourages the participation of all residents, including minorities, the non-English speaking population, and persons with disabilities. This section outlines the steps for public participation in the Five-Year Consolidated Plan.

1. Individual Consultations

Before drafting the Five-Year Plan, the City receives input from various community institutions. Many of these agencies have continuous and frequent contact with the CDBG Program and Commission. However, during the spring and summer, CDBG staff meets with public and private, non-profit and for-profit agencies and community organizations to specifically discuss the Five-Year Plan. These consultations may be through individual meetings, task force or neighborhood meetings or other means. The purpose is to gain input and data that will guide the development of the goals and objectives in the Five-Year Plan.

2. Citizen Input: Public Hearings and 30 Day Comment Period

Citizens are encouraged to provide input into the Five-Year Plan through Public Hearings and the Comment Period. In addition to an opportunity to indicate community needs that should be reflected in the objectives of the plan, citizens may comment on the draft plan before it is finalized. Any comments received through public hearings or the comment period will be recorded and addressed in the Five-Year Plan.

Public Hearings: The CDBG Office holds at least two public hearings to provide an opportunity for input by Madison residents, especially residents

who are low-income or in targeted neighborhoods, in the Five-Year Plan. The first hearing is held to gather information on community needs from citizens. The second hearing is to receive oral comments on the draft Consolidated Plan, prepared by CDBG staff. The public hearing is advertised to citizens following the “Notifications” section of this document. The publication includes a summary of the plan that includes the contents and purpose of the consolidated plan.

Comment Period: Citizens are also given a 30-day period to submit comments on the draft plan.

The comment period starts when the draft is published, two weeks before the public hearing. It is available to citizens at various locations, including public libraries, specified neighborhood centers, the CDBG office and website and other public gathering spots. Notification of the draft plan and the designated locations will be published in the main newspaper as well as other publications that target minority, special needs or target neighborhood populations. Additionally, the CDBG office will provide a reasonable number of free copies of the plan to citizens and groups that request it.

3. Final Consolidated Plan

The plan includes a summary of all written or oral testimony that will be considered in the final Consolidated Plan. Additionally, the plan will provide reasons for any comments or views not accepted. The final plan is reviewed and approved by the CDBG Committee, City Board of Estimates and City Common Council. The plan is submitted to HUD no later than 45 days before the start of the program year.

One-Year Action Plan

Each year an Action Plan and CAPER is submitted to HUD. The Action Plan outlines the funding allocations that will be used to achieve the objectives outlined in the Consolidated Plan. During the development of the Action Plan, there is a public hearing held in conjunction with a CDBG Committee meeting. The public hearing follows the publication requirements outlined in the “Notifications” section of this document. In addition to the public hearing, the Action Plan is published for at least 15 days for written public comment. The final plan is approved by the CDBG Committee, City Board of Estimates and City Common Council before it is submitted to HUD.

Substantial Amendments

The Citizen Participation Plan allows for “substantial amendments” to the One-Year Action Plan or Five-Year Consolidated Plans. Substantial amendments only apply to

the changes in the use of CDBG funds, from one eligible activity to another. The CDBG office defines a substantial amendment as:

“Any change in the allocation or distribution of funds, activity, or recipient and the dollar amount of that change is equal to or greater than 25% of the current fiscal year federal allocation.”

If there is a proposed substantial amendment to the Consolidated or Action Plan, the CDBG staff will draft the amendment. A brief summary of the change will be published and identify where the full document can be reviewed. Once noticed, the public may review the document for 30 days¹ and provide written comment to the CDBG office. During the 30 day comment period, a public hearing will be held at a CDBG Committee meeting to allow for oral citizen input. The hearing will follow the “Notifications” section of this document.

The final amendment includes a summary of and response to all citizen comments that were received. The amendment must be approved by the CDBG Committee, City Board of Estimates and City Common Council.

Consolidated Annual Performance and Evaluation Report (CAPER)

The CDBG Office encourages citizen participation and input in the Consolidated Annual Performance and Evaluation Report (CAPER). There is one public hearing on the CAPER held near the time of its submission. This meeting is publicly noticed, held as part of a regularly scheduled Commission meeting, and encourages citizen comment about the program. The hearing follows the “Notifications” section of this document.

The draft CAPER is published for at least 15 days to receive comments on the performance report before it is submitted to HUD. The final report considers any comments received in writing or orally at public hearings and includes a summary of all these comments. The draft is available to citizens at various locations, including public libraries, specified neighborhood centers, the CDBG office and website and other public gathering spots.

The final CAPER includes a summary of and response to all citizen comment received orally or in writing. The CAPER is approved by the CDBG Committee, City Board of Estimates and City Common Council before it is submitted to HUD.

Substantial Amendments to Citizen Participation Plan

If changes to the Citizen Participation Plan are necessary, the changes will be drafted by the CDBG staff and reviewed by the Committee. The Committee meeting held to review the changes will incorporate a public hearing to afford citizens the opportunity for oral comment. This meeting will follow the procedure outlined in the

¹ A waiver to the 30 day comment period requirement on AAP Substantial Amendments was authorized by HUD in April 2020, for the purposes of expediting Grantees' submission of their Substantial Amendments to accept 2020 CARES Act funding to prevent, prepare for and respond to the COVID-19 pandemic. Grantees were permitted to use a truncated 5 day comment period for these CARES Act Substantial Amendments.

“Notifications” section. After reasonable notice, the draft will also be available to the public for a minimum of 15 days for written comment. The updated Citizen Participation Plan considers all the written or oral comments received before it is adopted.

C. GENERAL REQUIREMENTS

Public Hearings

At least two (2) public hearings are held each year to obtain feedback and input from Madison citizens, public agencies, and other interested parties on the housing and community development needs for the City. Generally, one public hearing is held in the first quarter of the year. Any public hearing before the CDBG Commission or other appropriate organizations or groups are advertised as outlined in the “Notifications” section below.

Public Meetings

All CDBG Committee and Subcommittee meetings are public and open meetings. Any open meetings must meet the requirements outlined in the “Notification” section below.

Notifications

Advance notice of all public meetings and hearings are provided to residents in compliance with governing regulations.

Public notice of **open meetings** shall be given at least 24 hours prior notice and set forth the time, date, place and subject matter of the meeting as required by the Madison Code of Ordinances. The notice, agenda and minutes of all open meetings are submitted to the City Clerk and posted on the City website. Additionally, citizens may obtain CDBG specific information on the CDBG website.

At least two weeks’ notice is provided for any **public hearing** as required by HUD. Notice is provided by posting on the City website through the City Clerk. Citizens may also view this information on the CDBG website.

In addition to posting on the City website, Citizens receive additional notice for public hearings related to the Consolidated Plan/Action Plan or substantial amendments. Ads are published in local newspapers for general circulation and appear in English and/or Spanish or Hmong, if more appropriate. These ads appear for at least two weeks prior to any public hearing or comment period. The ads include a notice of the hearing, a summary of the relevant documents, process for public comment and a list of locations where relevant documents may be reviewed.

Accommodation

All public meetings and hearing are held in locations that are accessible to persons with disabilities. Upon request, translation for non-English speaking residents and/or those who are hearing impaired will be provided. These or other provisions necessary to accommodate residents may be available if requested at least five

working days prior to a hearing or meeting. The CDBG office makes an effort to hold at least one meeting (either regular monthly meeting or public hearing) per year at a location where CDBG funds are directed or other low-income area.

Document Access

Upon request, copies of all planning documents are available to the public. The Consolidated Plan, Action Plan, annual performance report, Citizen Participation Plan and other documents are posted on the CDBG website. The public has the opportunity to review these documents while in draft form to incorporate citizen comments and input into the final document. Draft documents will be available at several locations throughout the city. The list is published in the public hearing ad, but generally includes public libraries, neighborhood centers, the CDBG office, public housing authorities and other non-profit organizations offices. Citizens may contact the CDBG office to obtain a copy of the written documents. Upon request, the documents can be obtained in a form accessible to persons with disabilities.

Access to Records

Upon request, citizens, public agencies, and other interested parties will be provided reasonable and timely access to information and records relating to the consolidated plan, citizen participation plan, performance reports, and the City's use of assistance awarded under grant programs.

Technical Assistance

Technical assistance can be provided to neighborhoods targeted by CDBG funds or other low-income areas that need assistance preparing funding proposals or participating in the consolidated planning process. Assistance may be limited to the extent that staff or other resources are available or if prohibited by federal or city rules or regulations. This provision does not involve the use of City equipment, reassignment of City staff to the proposed group or project or guarantee an award of funds.

Complaints

The CDD staff is responsible for receiving and responding in writing to citizen complaints regarding any HUD program or activity, including Consolidated Plan activities. Staff will provide a substantive, written response to the complainant within 15 working days, where practicable. If the response cannot be prepared within 15 days, the complainant will be notified of the delay and the approximate date the response will be provided.

Complaints should be addressed to:

City of Madison Community Development Division

Room 225, Madison Municipal Building, 215 Martin Luther King, Jr. Blvd.

PO Box 2627 · Madison, Wisconsin 53701-2627

OR

cdbg@cityofmadison.com

Summary of Citizen Participation Comments

City of Madison, Wisconsin 2019 Action Plan

Varieties of opportunities were offered for citizen participation regarding the City of Madison, Wisconsin 2019 Action Plan. All related meeting locations were accessible to persons with disabilities, and all meeting notices included information about how to request accommodation, such as a translator or signing assistance.

A public hearing was held on 5/2/19, which was publicly noticed in a variety of forums, and comment was invited in the form of mail, email or in-person testimony. The draft Plan was posted on the City website and made available at public libraries well in advance of the public hearing. No comments were received.

The draft Plan was also the subject of discussion at a publicly noticed meeting of the CDBG Committee on 5/2/19. Open discussion by committee members and staff was undertaken during this meeting; however, no members of the public registered support or criticism, or offered comments.

The draft Plan was also listed on the agenda for publicly noticed meetings of the City Finance Committee on 5/13/19 and the Madison Common Council on 5/21/19. No members of the public registered in support or with criticism, or offered comments at either meeting. The Plan was approved by the Common Council at its meeting of 5/21/19.

2019 Action Plan – Substantial Amendment to Accept CARES Act Funding (CDBG-CV & ESG-CV)

As allowed per HUD's CARES Act guidance, the City of Madison held a truncated 5-day public comment period to seek public input on the changes articulated in the 2019 AAP Substantial Amendment.

Staff posted the Substantial Amendment on the CDD website and via the City of Madison's Legistar system on 7/6/20, which served as formal Public Notification of the City's intended uses of both CDBG-CV and ESG-CV funds.

- **CDD website link:**
<https://www.cityofmadison.com/cdbg/documents/MadisonFY19AAPSubstantialAmendment.pdf>
- **Legistar system link:**
<https://madison.legistar.com/LegislationDetail.aspx?ID=4594182&GUID=210ACB2B-F6A2-42E5-8EE3-15B12153B85E>

The public had the opportunity to provide written comments or register to provide verbal comments at either the 7/9/20 CDBG Committee meeting or the 7/20/20 Finance Committee meeting. After the 7/20/20 Finance Committee, the public comment period ended. There were no written public comments received, nor were there any registered speakers while the process was open. The Common Council approved a Resolution formally adopting Madison's 2019 AAP Substantial Amendment (*RES-20-00550, Legistar #61295*) at its meeting of 8/4/20.

The ESG-CV Request for Proposals (RFP) process began 8/11/20, with proposals due 8/28/20.

- **ESG-CV RFP link:**
<https://www.cityofmadison.com/dpced/communitydevelopment/funding/documents/FY20%20ESG%20CV%20COVID-19%20Homelessness%20Response%20RFP.pdf>

The CDBG-CV RFP is currently under development, with an expected September release.

APPLICATION FOR FEDERAL ASSISTANCE SF-424

* 1. Type of Submission:

- Preapplication
- Application
- Changed / Corrected Application

* 2. Type of Application:

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

STATE USE ONLY:

6. Date Received by State:

7. State Application Identifier:

APPLICANT INFORMATION:

* 8a. Legal Name:

CITY OF MADISON

* 8b. Employer / Taxpayer Identification Number (EIN / TIN):

39-6005507

* 8c. Organizational DUNS:

07-614-7909

8d. Address:

* Street 1:

215 MARTIN LUTHER KING JR BLVD STE 300

Street 2:

PO BOX 2627

* City:

MADISON

County / Parish:

DANE

* State / Province:

WI: WISCONSIN

* Country:

USA: UNITED STATES

* Zip / Postal Code:

53701-2627

8e. Organizational Unit:

Department Name:

DEPT OF PLANNING AND COMMUNITY & ECONOMIC DEVELOPMENT

Division Name:

COMMUNITY DEVELOPMENT DIVISION

8f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

MS

* First Name:

LINETTE

Middle Name:

S

* Last Name:

RHODES

Suffix:

Title:

COMMUNITY DEVELOPMENT GRANTS SUPERVISOR

Organizational Affiliation:

Fax Number:

(608) 261-9661

* Telephone Number:

(608) 261-9240

* Email:

lrhodes@cityofmadison.com

* 9. Type of Applicant:

C: CITY OR TOWNSHIP GOVERNMENT

* 10. Name of Federal Agency:

U S DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title (Name of Program):

COMMUNITY DEVELOPMENT BLOCK GRANT ENTITLEMENT PROGRAM

* 12. Funding Opportunity Number:

N/A

* Title:

SUPPLEMENTAL CARES ACT FUNDING (CDBG-CV)

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

CITY OF MADISON

* 15. Descriptive Title of Applicant's Project:

CONSOLIDATED CDBG, HOME & HESG ANNUAL ACTION PLAN - SUBSTANTIAL AMENDMENT

Add attachment(s), if applicable.

Attach supporting documents as specified in agency instructions.

APPLICATION FOR FEDERAL ASSISTANCE SF-424

16. Congressional Districts Of:

* a. Applicant
 * b. Project

Attach an additional list of Program/Project Congressional Districts, if needed.

17. Proposed Project:

* a. Start Date:
 * b. End Date:

18. Estimated Funding (\$):

* a. Federal	\$ 1,160,897.00
* b. Applicant	-
* c. State	-
* d. Local	-
* e. Other	-
* f. Program Income	-
* g. TOTAL	\$ 1,160,897.00

* 19. Is Application Subject to Review by State Under Executive Order 12372 Process?

- a. This application was made available to the State under E.O. 12372 Process for review on: _____
- b. Program is subject to E.O. 12372, but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt?

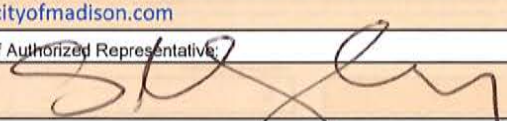
No Yes (If "Yes", provide explanation in attachment.)

* 21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

AUTHORIZED REPRESENTATIVE:

Prefix: * First Name: Middle Name:
 * Last Name: Suffix:
 * Title:
 * Telephone Number: Fax Number:
 * Email:
 * Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

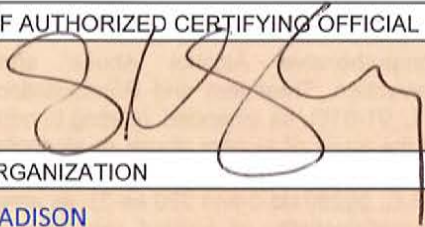
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE MAYOR
APPLICANT ORGANIZATION CITY OF MADISON	DATE 7/6/2020

APPLICATION FOR FEDERAL ASSISTANCE SF-424

* 1. Type of Submission:

- Preapplication
- Application
- Changed / Corrected Application

* 2. Type of Application:

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

STATE USE ONLY:

6. Date Received by State:

7. State Application Identifier:

APPLICANT INFORMATION:

* 8a. Legal Name:

CITY OF MADISON

* 8b. Employer / Taxpayer Identification Number (EIN / TIN):

39-6005507

* 8c. Organizational DUNS:

07-614-7909

8d. Address:

* Street 1:

215 MARTIN LUTHER KING JR BLVD STE 300

Street 2:

PO BOX 2627

* City:

MADISON

County / Parish:

DANE

* State / Province:

WI: WISCONSIN

* Country:

USA: UNITED STATES

* Zip / Postal Code:

53701-2627

8e. Organizational Unit:

Department Name:

DEPT OF PLANNING AND COMMUNITY & ECONOMIC DEVELOPMENT

Division Name:

COMMUNITY DEVELOPMENT DIVISION

8f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

MS

* First Name:

LINETTE

Middle Name:

S

* Last Name:

RHODES

Suffix:

Title:

COMMUNITY DEVELOPMENT GRANTS SUPERVISOR

Organizational Affiliation:

* Telephone Number:

(608) 261-9240

Fax Number:

(608) 261-9661

* Email:

lrhodes@cityofmadison.com

* 9. Type of Applicant:

C: CITY OR TOWNSHIP GOVERNMENT

* 10. Name of Federal Agency:

U S DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title (Name of Program):

EMERGENCY SOLUTIONS GRANT PROGRAM

* 12. Funding Opportunity Number:

N/A

* Title:

SUPPLEMENTAL CARES ACT FUNDING - ESG-CV

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

CITY OF MADISON

* 15. Descriptive Title of Applicant's Project:

CONSOLIDATED CDBG, HOME & HESG ANNUAL ACTION PLAN - SUBSTANTIAL AMENDMENT

Add attachment(s), if applicable.

Attach supporting documents as specified in agency instructions.

APPLICATION FOR FEDERAL ASSISTANCE SF-424

16. Congressional Districts Of:

* a. Applicant
 * b. Project

Attach an additional list of Program/Project Congressional Districts, if needed.

17. Proposed Project:

* a. Start Date:
 * b. End Date:

18. Estimated Funding (\$):

* a. Federal	\$ 2,890,929.00
* b. Applicant	-
* c. State	-
* d. Local	-
* e. Other	-
* f. Program Income	-
* g. TOTAL	\$ 2,890,929.00

* 19. Is Application Subject to Review by State Under Executive Order 12372 Process?

- a. This application was made available to the State under E.O. 12372 Process for review on: _____
- b. Program is subject to E.O. 12372, but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt?

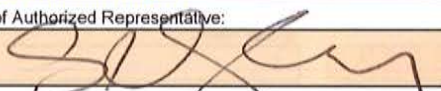
No Yes (If "Yes", provide explanation in attachment.)

* 21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

AUTHORIZED REPRESENTATIVE:

Prefix: * First Name: Middle Name:
 * Last Name: Suffix:
 * Title:
 * Telephone Number: Fax Number:
 * Email:
 * Signature of Authorized Representative:  * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

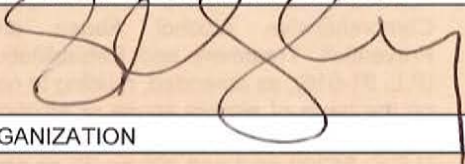
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis- Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	MAYOR
APPLICANT ORGANIZATION	DATE
CITY OF MADISON	7/6/2020

This certification is applicable.
 This certification does not apply.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing. The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying. To the best of the jurisdiction's knowledge and belief:

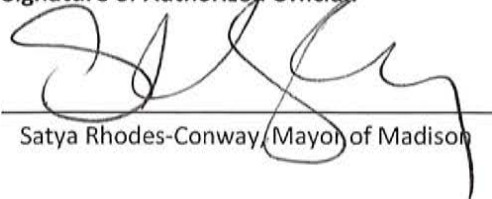
1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and,
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

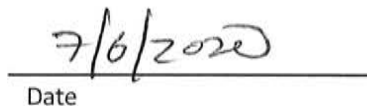
Authority of Jurisdiction. The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with Plan. The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3. It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official:


Satya Rhodes-Conway, Mayor of Madison


Date

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| <input checked="" type="checkbox"/> This certification is applicable.
<input type="checkbox"/> This certification does not apply. |
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SPECIFIC CDBG CERTIFICATIONS

The Entitlement Community certifies that:

Citizen Participation. It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan. Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570.)

Following a Plan. It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds. It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2019 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force. It has adopted and is enforcing:

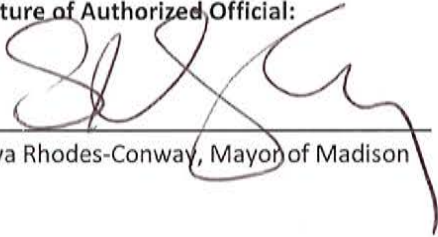
1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance With Anti-Discrimination Laws. The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint. Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws. It will comply with applicable laws.

Signature of Authorized Official:



Satya Rhodes-Conway, Mayor of Madison

7/6/2020

Date

- This certification is applicable.
 This certification does not apply.

SPECIFIC HOME CERTIFICATIONS

The HOME participating jurisdiction certifies that:

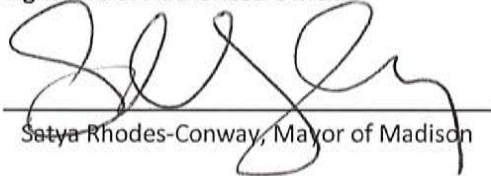
Tenant Based Rental Assistance. If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

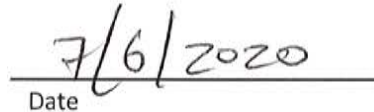
Eligible Activities and Costs. It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance. Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

Signature of Authorized Official:



Satya Rhodes-Conway, Mayor of Madison



Date

This certification is applicable.
 This certification does not apply.

INTERIM ESG-CV CERTIFICATIONS

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion. If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation. EXCEPTION: In accordance with the CARES Act, the certifications in this paragraph do not apply with respect to CARES Act funding that is used to provide temporary emergency shelters (through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

Essential Services and Operating Costs. In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area. EXCEPTION: In accordance with the CARES Act, the certification in this paragraph does not apply with respect to CARES Act funding that is used to provide temporary emergency shelters (through leasing of existing property, temporary structures, or other means) to prevent, prepare for, and respond to coronavirus.

Renovation. Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services. The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

~~**Matching Funds.** The jurisdiction will obtain matching amounts required under 24 CFR 576.201.~~

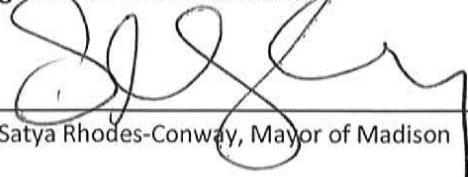
Confidentiality. The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Involvement of Homeless Persons. To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan. All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy. The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official:


Satya Rhodes-Conway, Mayor of Madison


Date

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| <input checked="" type="checkbox"/> This certification is applicable.
<input type="checkbox"/> This certification does not apply. |
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APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.